SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bu	ırden
hours per response:	0.5

Check this box if no lo Section 16. Form 4 or obligations may conti Instruction 1(b).	r Form 5	_	T OF CHANGES IN BENEFICIAL OWN pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	_	IP	OMB Number: Estimated ave hours per resp	rage burd	3235-0287 len 0.5
1. Name and Address of <u>Crockett Thoma</u>			2. Issuer Name and Ticker or Trading Symbol <u>KalVista Pharmaceuticals, Inc.</u> [KALV]		all applicabl Director	,	10% O	wner
(Last) (Fi C/O KALVISTA PH		(Middle) CALS, INC.	3. Date of Earliest Transaction (Month/Day/Year) 02/17/2023	X	Officer (giv below)	re title CEO	Other (below)	(specify
55 CAMBRIDGE P	PARKWAY, SUI	ГЕ 901Е	4. If Amendment, Date of Original Filed (Month/Day/Year) 02/23/2023	6. Individ Line) X		t/Group Filing by One Repor		
CAMBRIDGE M	[A	02142			Form filed Person	by More than	One Rep	orting
(City) (S	tate)	(Zip)	Rule 10b5-1(c) Transaction Indication			or written plan th	nat is inter	nded to
	Tabl	e I - Non-Deriva	ative Securities Acquired, Disposed of, or Benef	icially (Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)

lable ll	- Derivative Securities Acquired, Disposed of, or Beneficially Owned
	(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		of Deri Seci Acq (A) o Disp of (E (Inst	of Expiration Date Derivative (Month/Day/Year) Securities Acquired		Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit ⁽¹⁾	(2)	02/17/2023		М			6,944	(1)(3)	(1)(3)	Common Stock	6,944	\$0.00	76,390	D	

Explanation of Responses:

1. The original Form 4 filed on February 23, 2023, is being amended by this Form 4 amendment solely to correct an administrative error, which mis-reported the vesting schedule and the restricted stock unit as a performance restricted stock unit.

2. Each restricted stock unit ("RSU") represents a contingent right to receive 1 share of the Issuer's Common Stock upon settlement for no consideration.

3. 1/16th of the total number of shares subject to the RSU shall vest on each quarterly anniversary of the Vesting Commencement Date commencing on May 17, 2022, subject to continued service through each vesting date

Remarks:

/s/ Benjamin L. Palleiko, Attorney-in-Fact

05/22/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.